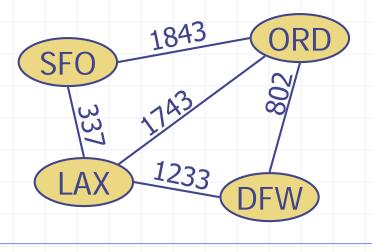
# Chapter 6: Graphs



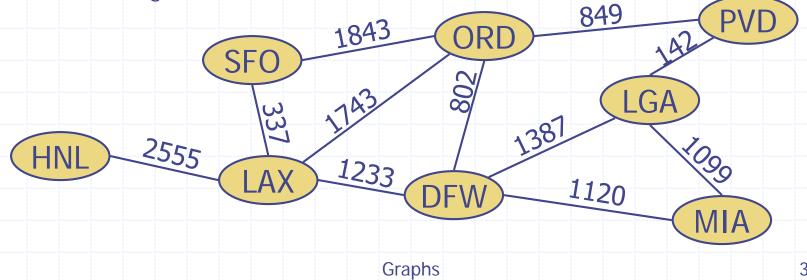
### **Outline and Reading**

Graphs (§6.1)
Data Structures for Graphs (§6.2)
Graph Traversal (§6.3)
Directed Graph (§6.4)

# 6.1 Graph

#### $\clubsuit$ A graph is a pair (V, E), where

- V is a set of nodes, called vertices
- *E* is a collection of pairs of vertices, called edges
- Vertices and edges are positions and store elements
- Example:
  - A vertex represents an airport and stores the three-letter airport code
  - An edge represents a flight route between two airports and stores the mileage of the route



# Edge Types

#### Directed edge

- ordered pair of vertices (u,v)
- first vertex *u* is the origin
- second vertex v is the destination
- e.g., a flight
- Undirected edge
  - unordered pair of vertices (u,v)
  - e.g., a flight route
- Directed graph
  - all the edges are directed
  - e.g., flight network
- Undirected graph
  - all the edges are undirected
  - e.g., route network



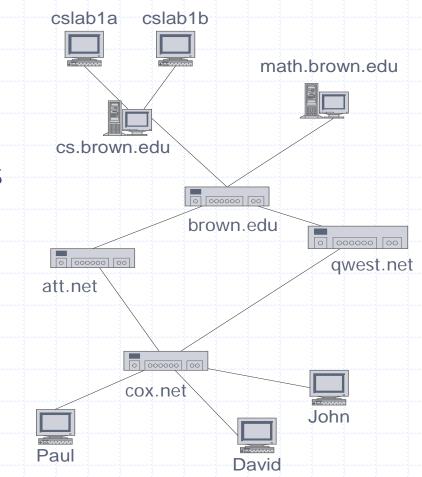


# **Applications**

- Electronic circuits
  - Printed circuit board
  - Integrated circuit
- Transportation networks
  - Highway network
  - Flight network
- Computer networks
  - Local area network
  - Internet
  - Web



Entity-relationship diagram



# Terminology

- End vertices (or endpoints) of an edge
  - U and V are the endpoints of a
- Edges incident on a vertex
  - a, d, and b are incident on V
- Adjacent vertices
  - U and V are adjacent
- Degree of a vertex
  - X has degree 5
- Parallel edges
  - h and i are parallel edges
- Self-loop
  - j is a self-loop

b

e

g

d

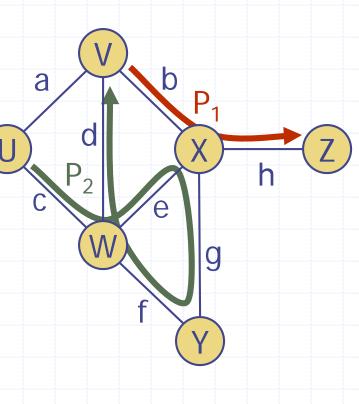
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# Terminology (cont.)

#### Path

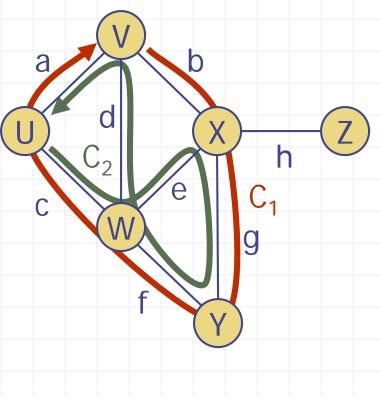
- sequence of alternating vertices and edges
- begins with a vertex
- ends with a vertex
- each edge is preceded and followed by its endpoints
- Simple path
  - path such that all its vertices and edges are distinct
- Examples
  - P<sub>1</sub>=(V,b,X,h,Z) is a simple path
  - P<sub>2</sub>=(U,c,W,e,X,g,Y,f,W,d,V) is a path that is not simple



# Terminology (cont.)

#### Cycle

- circular sequence of alternating vertices and edges
- each edge is preceded and followed by its endpoints
- Simple cycle
  - cycle such that all its vertices and edges are distinct
- Examples
  - C<sub>1</sub>=(V,b,X,g,Y,f,W,c,U,a, →) is a simple cycle
  - C<sub>2</sub>=(U,c,W,e,X,g,Y,f,W,d,V,a,⊥) is a cycle that is not simple



### Properties

Property 1

 $\Sigma_{\nu} \deg(\nu) = 2m$ Proof: each edge is counted twice

### Property 2

In an undirected graph with no self-loops and no multiple edges  $m \le n (n - 1)/2$ Proof: each vertex has degree at most (n - 1)

### Notation

n	number of vertices
m	number of edges
deg(v)	degree of vertex v

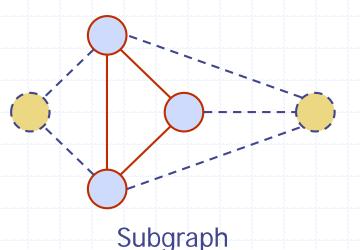
Example

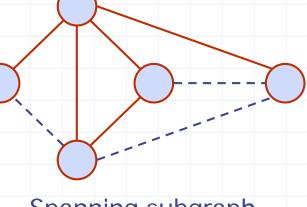
■ *n* = 4

•  $\deg(v) = 3$ 

# Subgraphs

- A subgraph S of a graph
   G is a graph such that
  - The vertices of S are a subset of the vertices of G
  - The edges of S are a subset of the edges of G
- A spanning subgraph of G is a subgraph that contains all the vertices of G





#### Spanning subgraph

# Connectivity

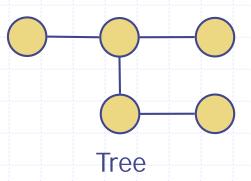
A graph is connected if there is a path between every pair of vertices

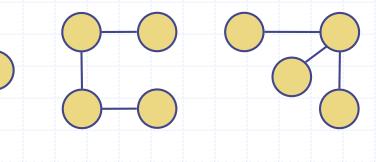
A connected component of a graph G is a maximal connected subgraph of G Connected graph

Non connected graph with two connected components

### **Trees and Forests**

- A (free) tree is an undirected graph T such that
  - T is connected
  - T has no cycles
     This definition of tree is different from the one of a rooted tree
- A forest is an undirected graph without cycles
   The connected components of a forest are trees

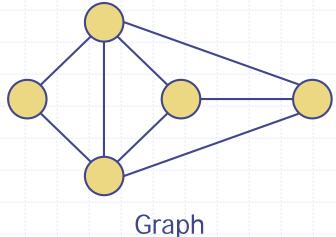


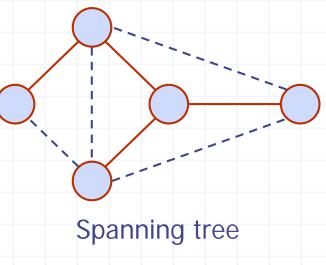


Forest

# **Spanning Trees and Forests**

- A spanning tree of a connected graph is a spanning subgraph that is a tree
- A spanning tree is not unique unless the graph is a tree
- Spanning trees have applications to the design of communication networks
- A spanning forest of a graph is a spanning subgraph that is a forest





## Main Methods of the Graph ADT

Vertices and edges are positions store elements Accessor methods aVertex() incidentEdges(v) endVertices(e) isDirected(e) origin(e) destination(e) opposite(v, e) areAdjacent(v, w)

Update methods insertVertex(o) insertEdge(v, w, o) insertDirectedEdge(v, w, o) removeVertex(v) removeEdge(e) Generic methods numVertices() numEdges() vertices() edges()

# 6.2 Data Structure for Graphs

# Edge List Structure

а

а

Graphs

b

b

С

d

W

#### Vertex object

- element
- reference to position in vertex sequence
- Edge object
  - element
  - origin vertex object
  - destination vertex object
  - reference to position in edge sequence
- Vertex sequence
  - sequence of vertex objects
- Edge sequence
  - sequence of edge objects

16

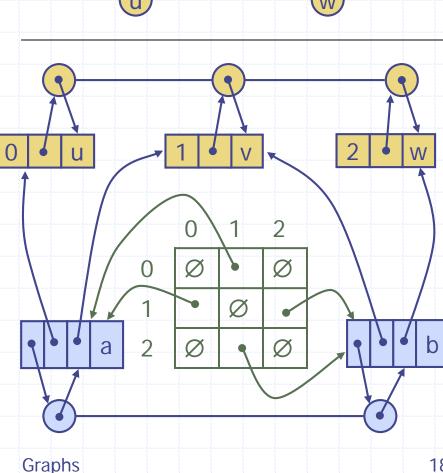
## Adjacency List Structure

b Edge list structure а Incidence sequence \/\/ for each vertex sequence of references to edge objects of incident edges Ŵ Augmented edge objects references to associated positions in incidence sequences of end а vertices

b

## **Adjacency Matrix Structure**

- Edge list structure Augmented vertex objects
  - Integer key (index) associated with vertex
- 2D adjacency array
  - Reference to edge object for adjacent vertices
  - Null for non nonadjacent vertices
- The "old fashioned" version just has 0 for no edge and 1 for edge



а

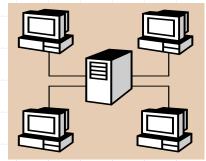
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## **Asymptotic Performance**

<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>no parallel edges</li> <li>no self-loops</li> <li>Bounds are "big-Oh"</li> </ul>	Edge List	Adjacency List	Adjacency Matrix
Space	n+m	n+m	<b>n</b> <sup>2</sup>
incidentEdges(v)	m	deg(v)	n
areAdjacent (v, w)	m	$\min(\deg(v), \deg(w))$	1
insertVertex(o)	1		<b>n</b> <sup>2</sup>
insertEdge(v, w, o)	1	1	1
removeVertex(v)	m	deg(v)	<b>n</b> <sup>2</sup>
removeEdge(e)	1	1	1

### 6.3 Graph Traversal

# 6.3.1 Depth-First Search



- Depth-first search (DFS) is a general technique for traversing a graph
   A DFS traversal of a
  - graph G
    - Visits all the vertices and edges of G
    - Determines whether G is connected
    - Computes the connected components of G
    - Computes a spanning forest of G

- DFS on a graph with *n* vertices and *m* edges takes *O*(*n* + *m*) time
- DFS can be further extended to solve other graph problems
  - Find and report a path between two given vertices
  - Find a cycle in the graph
- Depth-first search is to graphs what Euler tour is to binary trees

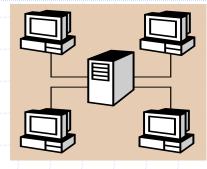
# **DFS Algorithm**

The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

#### Algorithm **DFS(G)**

Input graph *G* Output labeling of the edges of *G* as discovery edges and back edges

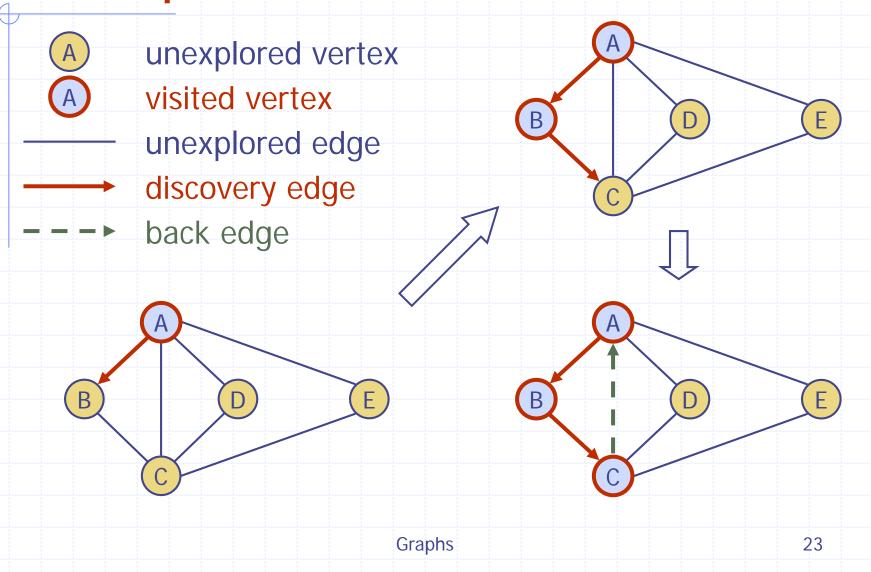
for all  $u \in G.vertices()$  setLabel(u, UNEXPLORED)for all  $e \in G.edges()$  setLabel(e, UNEXPLORED)for all  $v \in G.vertices()$ if getLabel(v) = UNEXPLOREDDFS(G, v)

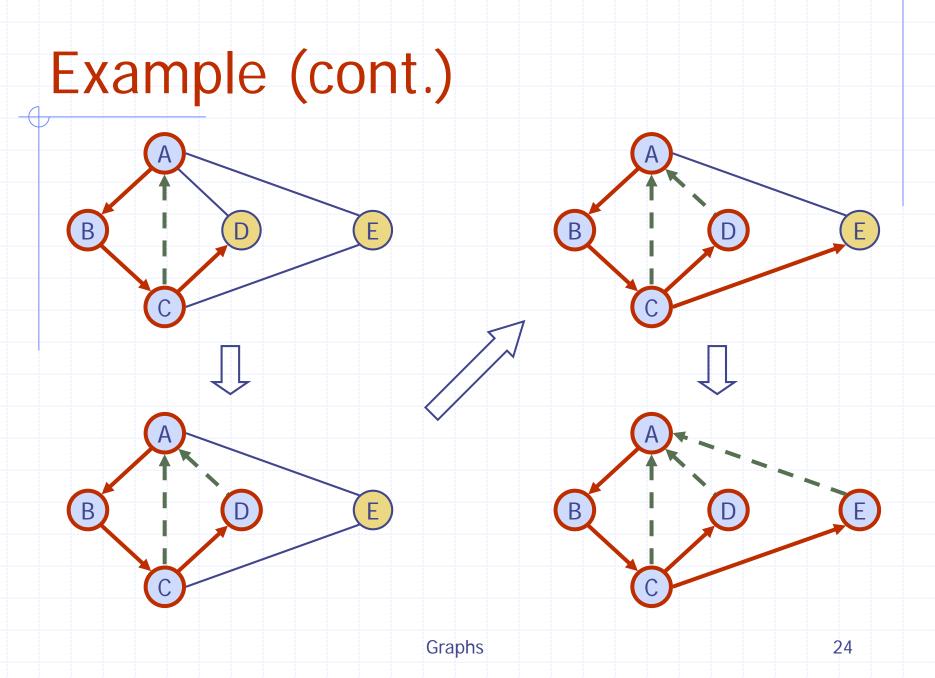


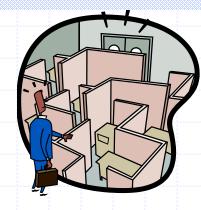
#### Algorithm **DFS**(**G**, **v**)

**Input** graph G and a start vertex v of GOutput labeling of the edges of G in the connected component of vas discovery edges and back edges setLabel(v, VISITED) for all  $e \in G.incidentEdges(v)$ **if** *getLabel*(*e*) = *UNEXPLORED*  $w \leftarrow G.opposite(v,e)$ **if** getLabel(w) = UNEXPLORED setLabel(e, DISCOVERY) DFS(G, w)else setLabel(e, BACK)

### Example

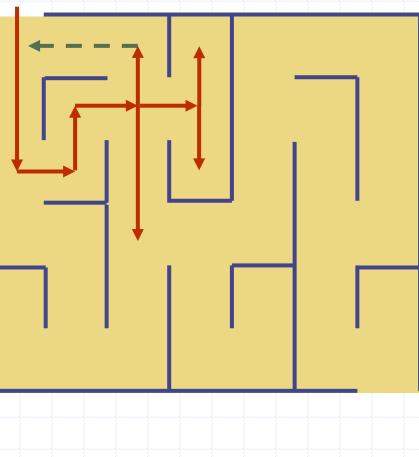






### **DFS and Maze Traversal**

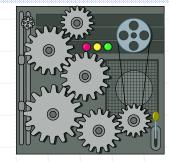
- The DFS algorithm is similar to a classic strategy for exploring a maze
  - We mark each intersection, corner and dead end (vertex) visited
  - We mark each corridor (edge) traversed
  - We keep track of the path back to the entrance (start vertex) by means of a rope (recursion stack)



# **Properties of DFS**

Property 1 *DFS*(*G*, *v*) visits all the vertices and edges in the connected component of *v* Property 2

The discovery edges labeled by *DFS*(*G*, *v*) form a spanning tree of the connected component of *v* 



# Analysis of DFS

- Setting/getting a vertex/edge label takes O(1) time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or BACK
- Method incidentEdges is called once for each vertex
- DFS runs in O(n + m) time provided the graph is represented by the adjacency list structure
  - Recall that  $\Sigma_v \deg(v) = 2m$

# Path Finding

- We can specialize the DFS algorithm to find a path between two given vertices *u* and *z* using the template method pattern
- We call **DFS**(G, u) with u as the start vertex
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as destination vertex z is encountered, we return the path as the contents of the stack

Algorithm *pathDFS(G, v, z)* setLabel(v, VISITED) S.push(v) if v = z

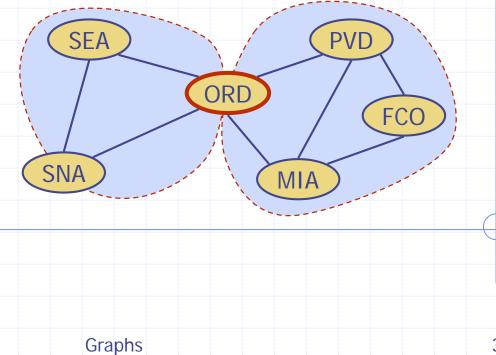
return S.elements()for all  $e \in G.incidentEdges(v)$ if getLabel(e) = UNEXPLORED $w \leftarrow opposite(v, e)$ if getLabel(w) = UNEXPLOREDsetLabel(e, DISCOVERY)S.push(e)pathDFS(G, w, z)S.pop() $\{ e \text{ gets popped } \}$ elsesetLabel(e, BACK)S.pop() $\{ v \text{ gets popped } \}$ 

# Cycle Finding

- We can specialize the DFS algorithm to find a simple cycle using the template method pattern
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as a back edge
   (v, w) is encountered,
   we return the cycle as
   the portion of the stack
   from the top to vertex w



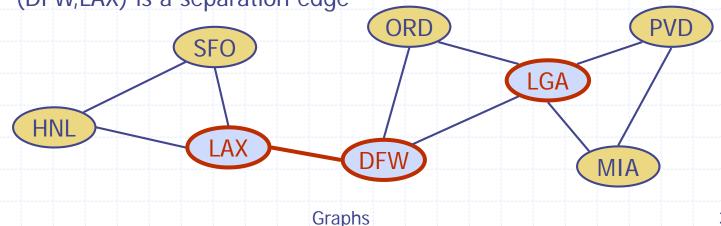
# 6.3.2 Biconnectivity



## **Separation Edges and Vertices**

#### Definitions

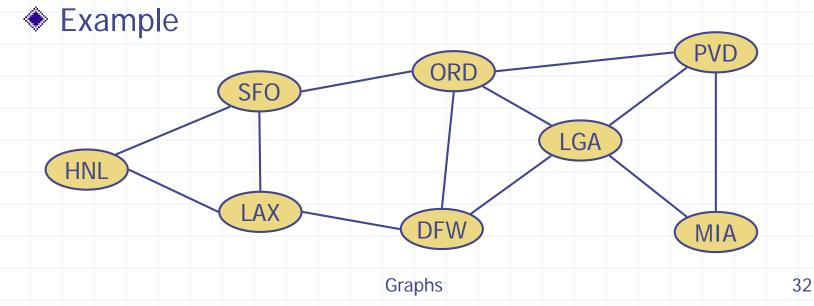
- Let G be a connected graph
- A separation edge of G is an edge whose removal disconnects G
- A separation vertex of *G* is a vertex whose removal disconnects *G*
- Applications
  - Separation edges and vertices represent single points of failure in a network and are critical to the operation of the network
- Example
  - DFW, LGA and LAX are separation vertices
  - (DFW,LAX) is a separation edge



## **Biconnected Graph**

### Equivalent definitions of a biconnected graph G

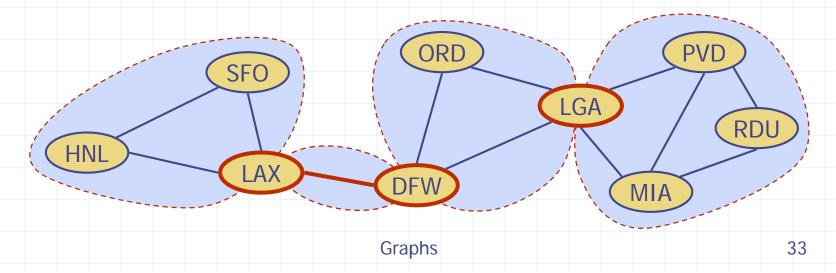
- Graph *G* has no separation edges and no separation vertices
- For any two vertices u and v of G, there are two disjoint simple paths between u and v (i.e., two simple paths between u and v that share no other vertices or edges)
- For any two vertices u and v of G, there is a simple cycle containing u and v



### **Biconnected Components**

- Biconnected component of a graph G
  - A maximal biconnected subgraph of G, or
  - A subgraph consisting of a separation edge of *G* and its end vertices
- Interaction of biconnected components
  - An edge belongs to exactly one biconnected component
  - A nonseparation vertex belongs to exactly one biconnected component
  - A separation vertex belongs to two or more biconnected components

Example of a graph with four biconnected components



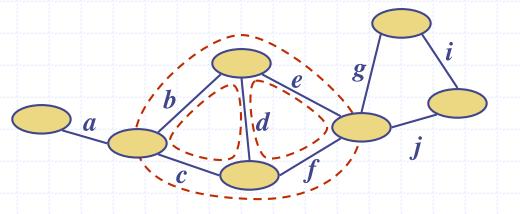
### Equivalence Classes

- Given a set S, a relation R on S is a set of ordered pairs of elements of S, i.e., R is a subset of S×S
- An equivalence relation R on S satisfies the following properties Reflexive:  $(x,x) \in R$ 
  - Symmetric:  $(x,y) \in \mathbb{R} \implies (y,x) \in \mathbb{R}$
  - Transitive:  $(x,y) \in \mathbb{R} \land (y,z) \in \mathbb{R} \Rightarrow (x,z) \in \mathbb{R}$
- An equivalence relation R on S induces a partition of the elements of S into equivalence classes
- Example (connectivity relation among the vertices of a graph):
  - Let V be the set of vertices of a graph G
  - Define the relation
    - $C = \{(v,w) \in V \times V \text{ such that } G \text{ has a path from } v \text{ to } w\}$
  - Relation C is an equivalence relation
  - The equivalence classes of relation C are the vertices in each connected component of graph G

# Link Relation

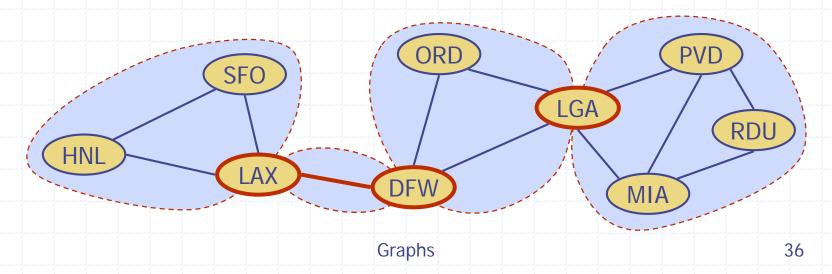
- Edges *e* and *f* of connected graph *G* are linked if
  - *e* =*f*, or
  - G has a simple cycle containing e and f
- Theorem:
  - The link relation on the edges of a graph is an equivalence relation **Proof Sketch**:
    - The reflexive and symmetric properties follow from the definition
    - For the transitive property, consider two simple cycles sharing an edge

Equivalence classes of linked edges:  $\{a\} \ \{b, c, d, e, f\} \ \{g, i, j\}$ 



### Link Components

- The link components of a connected graph G are the equivalence classes of edges with respect to the link relation
- A biconnected component of G is the subgraph of G induced by an equivalence class of linked edges
- A separation edge is a single-element equivalence class of linked edges
- A separation vertex has incident edges in at least two distinct equivalence classes of linked edge

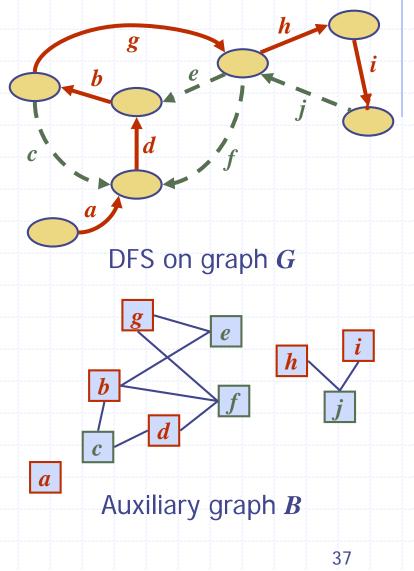


## Auxiliary Graph

- Auxiliary graph *B* for a connected graph *G*
  - Associated with a DFS traversal of G
  - The vertices of *B* are the edges of *G*
  - For each back edge *e* of *G*, *B* has edges (*e*,*f*<sub>1</sub>), (*e*,*f*<sub>2</sub>), ..., (*e*,*f*<sub>k</sub>), where *f*<sub>1</sub>, *f*<sub>2</sub>, ..., *f*<sub>k</sub> are the discovery edges of *G* that form a simple cycle with *e*

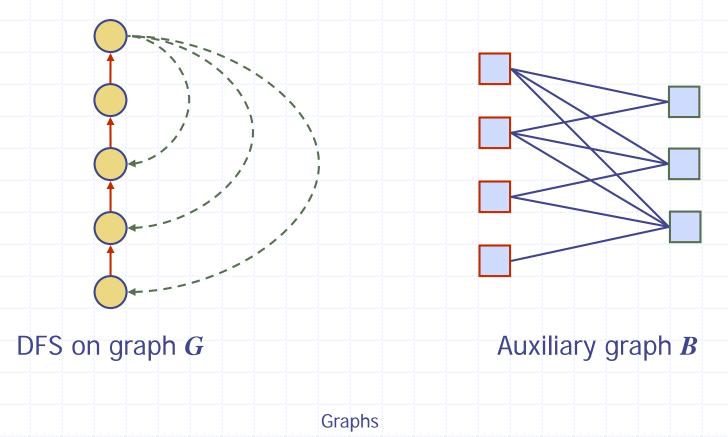
Graphs

 Its connected components correspond to the the link components of G

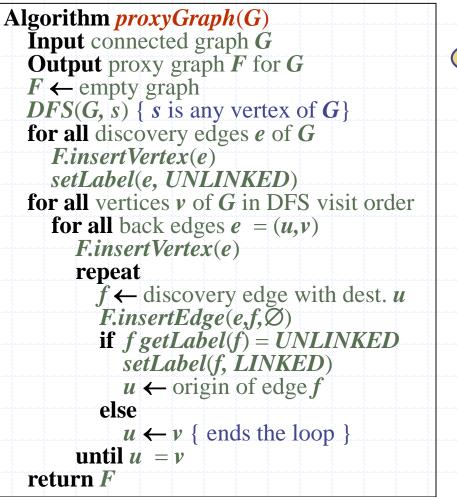


## Auxiliary Graph (cont.)

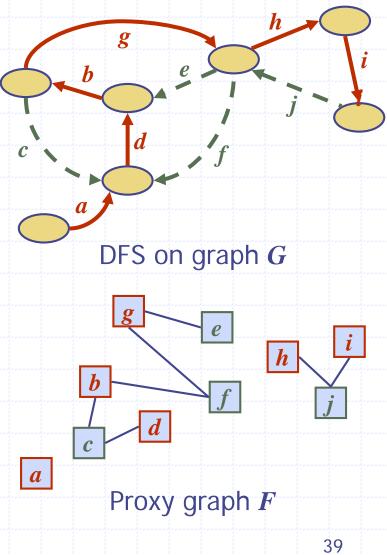
In the worst case, the number of edges of the auxiliary graph is proportional to *nm* 



## Proxy Graph

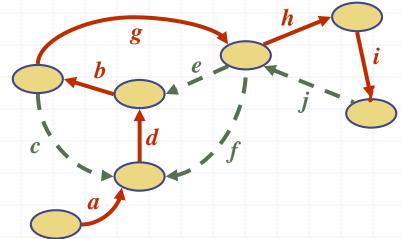


Graphs

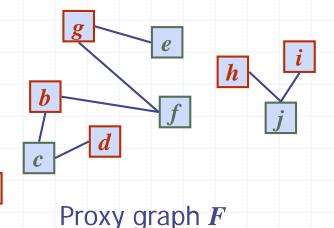


# Proxy Graph (cont.)

- Proxy graph **F** for a connected graph **G** 
  - Spanning forest of the auxiliary graph *B*
  - Has *m* vertices and *O*(*m*) edges
  - Can be constructed in O(n + m) time
  - Its connected components (trees) correspond to the the link components of G
- Given a graph G with n vertices and m edges, we can compute the following in O(n + m) time
  - The biconnected components of *G*
  - The separation vertices of G
  - The separation edges of G

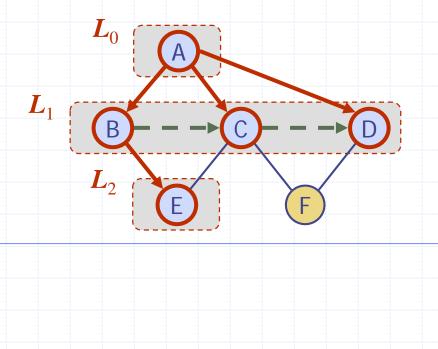






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#### 6.3.3 Breadth-First Search



#### **Breadth-First Search**

- Breadth-first search (BFS) is a general technique for traversing a graph
- A BFS traversal of a graph G
  - Visits all the vertices and edges of G
  - Determines whether G is connected
  - Computes the connected components of G
  - Computes a spanning forest of G

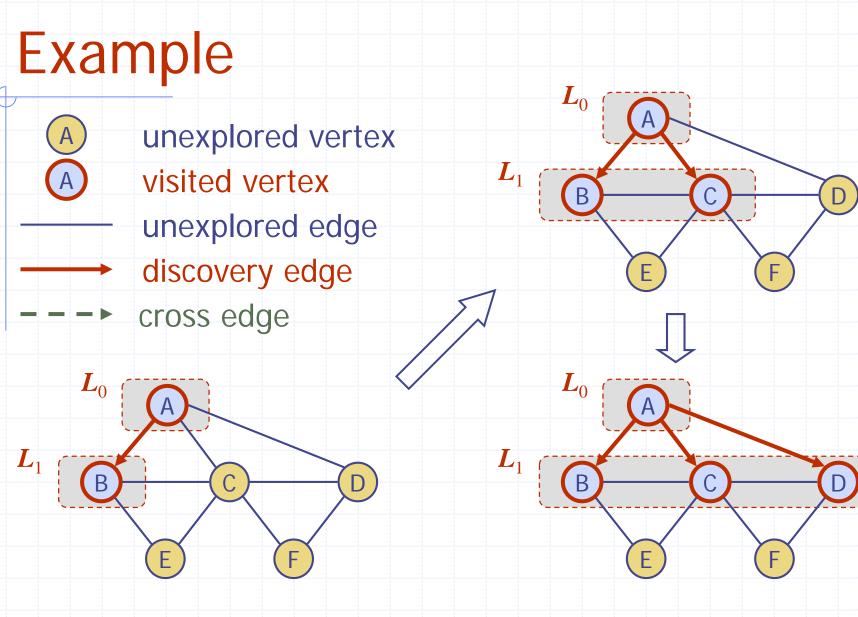
- BFS on a graph with n
   vertices and m edges
   takes O(n + m) time
- BFS can be further extended to solve other graph problems
  - Find and report a path with the minimum number of edges between two given vertices
  - Find a simple cycle, if there is one

# **BFS Algorithm**

 The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

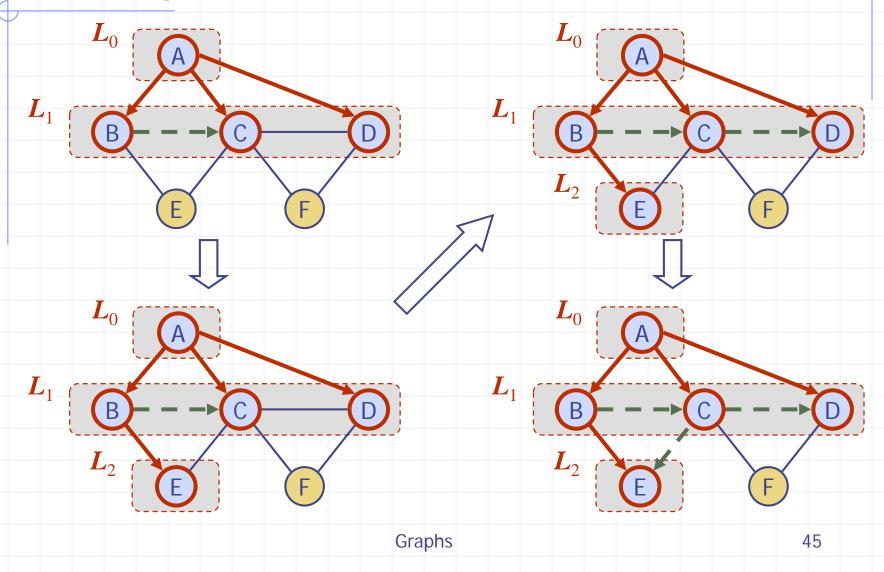
#### Algorithm **BFS(G)**

Input graph G Output labeling of the edges and partition of the vertices of G for all  $u \in G.vertices()$ setLabel(u, UNEXPLORED) for all  $e \in G.edges()$ setLabel(e, UNEXPLORED) for all  $v \in G.vertices()$ if getLabel(v) = UNEXPLORED BFS(G, v) Algorithm BFS(G, s) $L_0 \leftarrow$  new empty sequence  $L_0$ .insertLast(s) setLabel(s, VISITED)  $i \leftarrow 0$ while  $\neg L_i$  is Empty()  $L_{i+1} \leftarrow$  new empty sequence for all  $v \in L_i$ .elements() for all  $e \in G.incidentEdges(v)$ if getLabel(e) = UNEXPLORED  $w \leftarrow opposite(v,e)$ **if** *getLabel*(*w*) = *UNEXPLORED* setLabel(e, DISCOVERY) setLabel(w, VISITED)  $L_{i+1}$ .insertLast(w) else setLabel(e, CROSS)  $i \leftarrow i + 1$ 

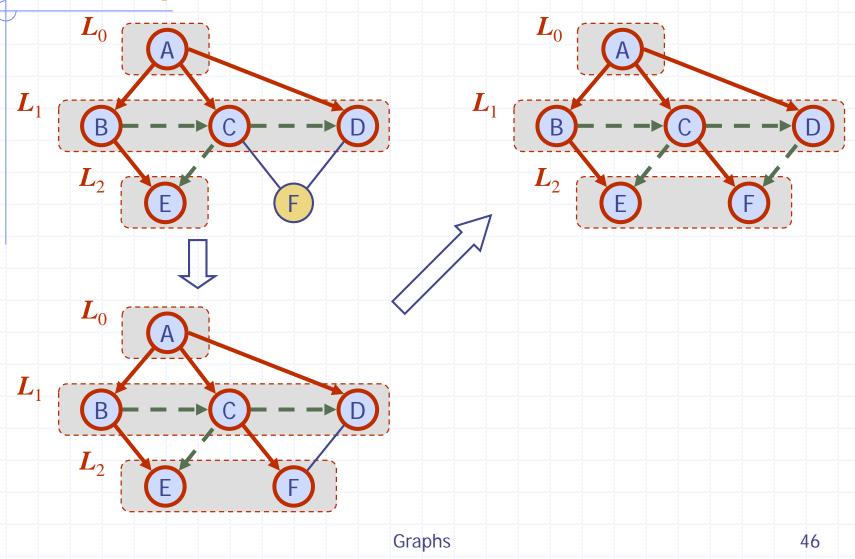


Graphs

# Example (cont.)

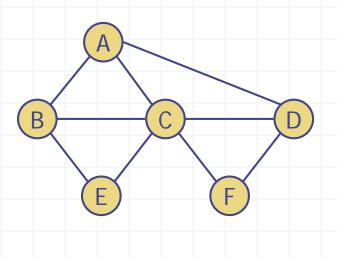


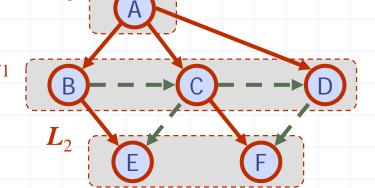
# Example (cont.)



#### **Properties**

- Notation G<sub>s</sub>: connected component of s **Property 1 BFS**(G, s) visits all the vertices and edges of  $G_{\rm s}$ Property 2 The discovery edges labeled by BFS(G, s) form a spanning tree  $T_s$  of  $G_{\rm s}$ **Property 3**  $\boldsymbol{L}_1$ For each vertex v in  $L_i$ 
  - The path of T<sub>s</sub> from s to v has i edges
  - Every path from s to v in G<sub>s</sub> has at least i edges





 $L_0$ 

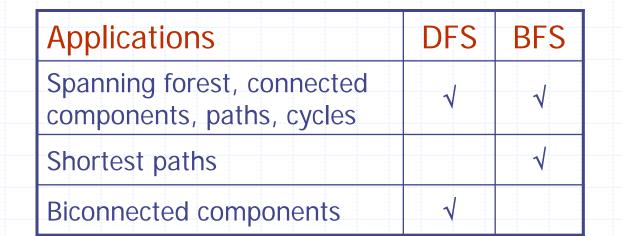
#### Analysis

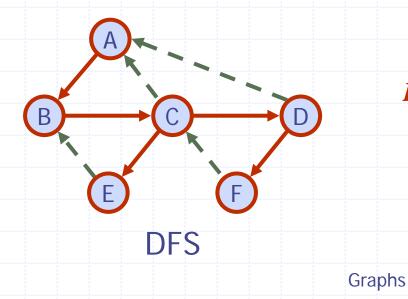
- Setting/getting a vertex/edge label takes **O**(1) time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or CROSS
- Each vertex is inserted once into a sequence  $L_i$
- Method incidentEdges is called once for each vertex
  BFS runs in O(n + m) time provided the graph is
  - represented by the adjacency list structure
    - Recall that  $\sum_{\nu} \deg(\nu) = 2m$

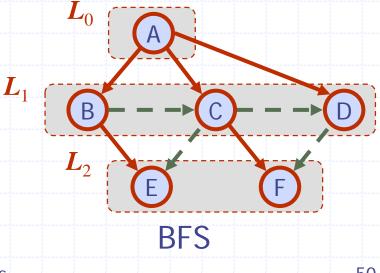
### Applications

- Using the template method pattern, we can specialize the BFS traversal of a graph G to solve the following problems in O(n + m) time
  - Compute the connected components of G
  - Compute a spanning forest of G
  - Find a simple cycle in G, or report that G is a forest
  - Given two vertices of G, find a path in G between them with the minimum number of edges, or report that no such path exists

### DFS vs. BFS







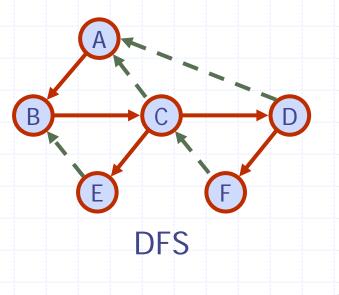
## DFS vs. BFS (cont.)

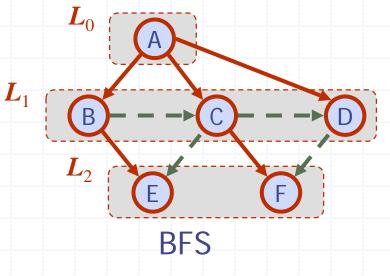
#### Back edge (v,w)

 w is an ancestor of v in the tree of discovery edges

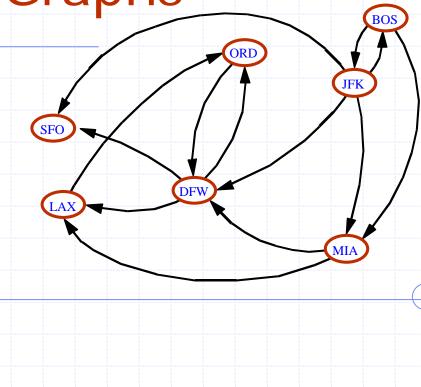
#### Cross edge (v,w)

w is in the same level as
 v or in the next level in
 the tree of discovery
 edges





### 6.4 Directed Graphs



## Outline and Reading (§6.4)



- Directed DFS
- Strong connectivity
- Transitive closure (§6.4.2)
  - The Floyd-Warshall Algorithm

Directed Acyclic Graphs (DAG's) (§6.4.4)
 Topological Sorting

# Digraphs

#### A digraph is a graph whose edges are all directed Short for "directed graph" Applications one-way streets R flights task scheduling

#### **Digraph Properties**

♦ A graph G=(V,E) such that

Each edge goes in one direction:

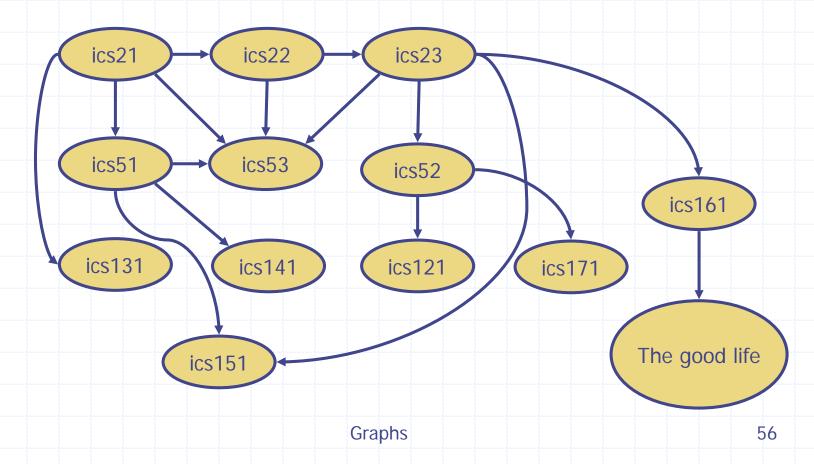
• Edge (a,b) goes from a to b, but not b to a.

#### • If G is simple, $m \leq n(n-1)$ .

If we keep in-edges and out-edges in separate adjacency lists, we can perform listing of of the sets of in-edges and out-edges in time proportional to their size.

### **Digraph Application**

Scheduling: edge (a,b) means task a must be completed before b can be started



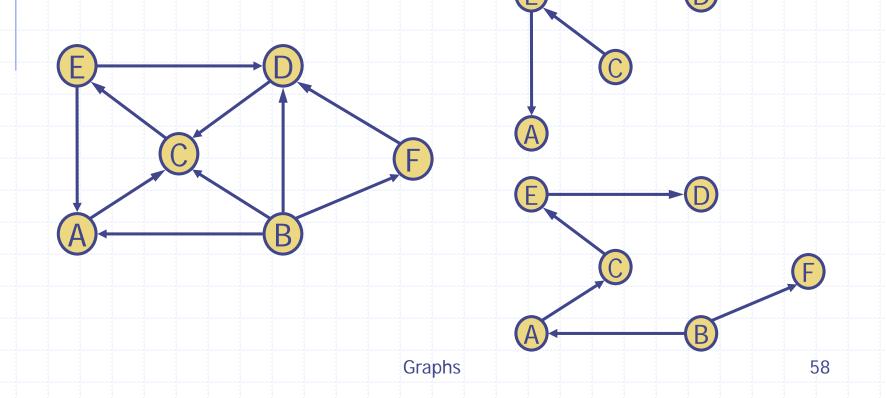
#### **Directed DFS**

- We can specialize the traversal algorithms (DFS and BFS) to digraphs by traversing edges only along their direction
- In the directed DFS algorithm, we have four types of edges
  - discovery edges
  - back edges
  - forward edges
  - cross edges
- A directed DFS starting at a vertex s determines the vertices reachable from s

#### Reachability



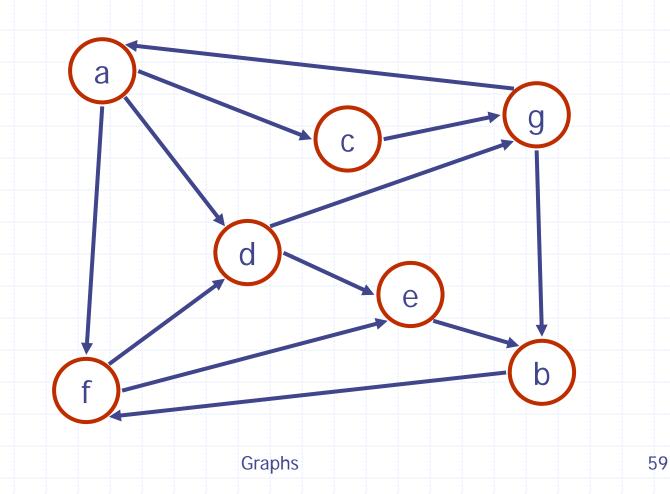
DFS tree rooted at v: vertices reachable from v via directed paths



# Strong Connectivity



Each vertex can reach all other vertices



# Strong Connectivity Algorithm

Pick a vertex v in G.
Perform a DFS from v in G. G:

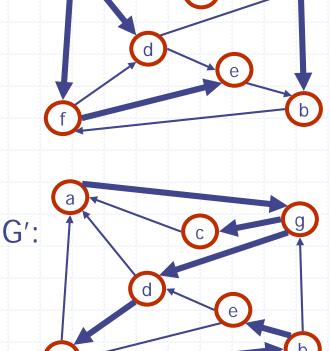
If there's a w not visited, print "no".

Let G' be G with edges reversed.
Perform a DFS from v in G'.

If there's a w not visited, print "no".
Else, print "yes".



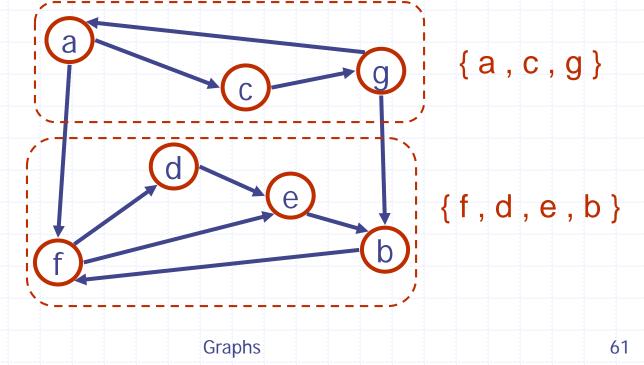




# Strongly Connected Components

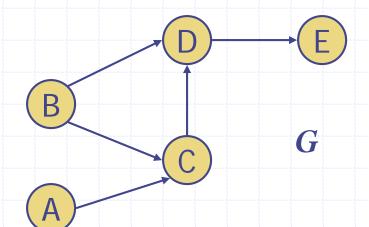


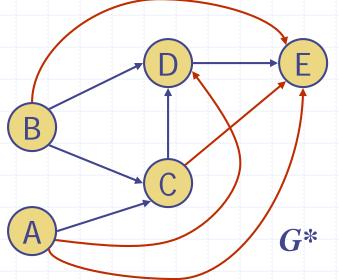
- Maximal subgraphs such that each vertex can reach all other vertices in the subgraph
- Can also be done in O(n+m) time using DFS, but is more complicated (similar to biconnectivity).



#### **Transitive Closure**

- Given a digraph G, the transitive closure of G is the digraph G\* such that
  - G\* has the same vertices as G
  - if G has a directed path from u to v (u ≠v), G\* has a directed edge from u to v
- The transitive closure provides reachability information about a digraph





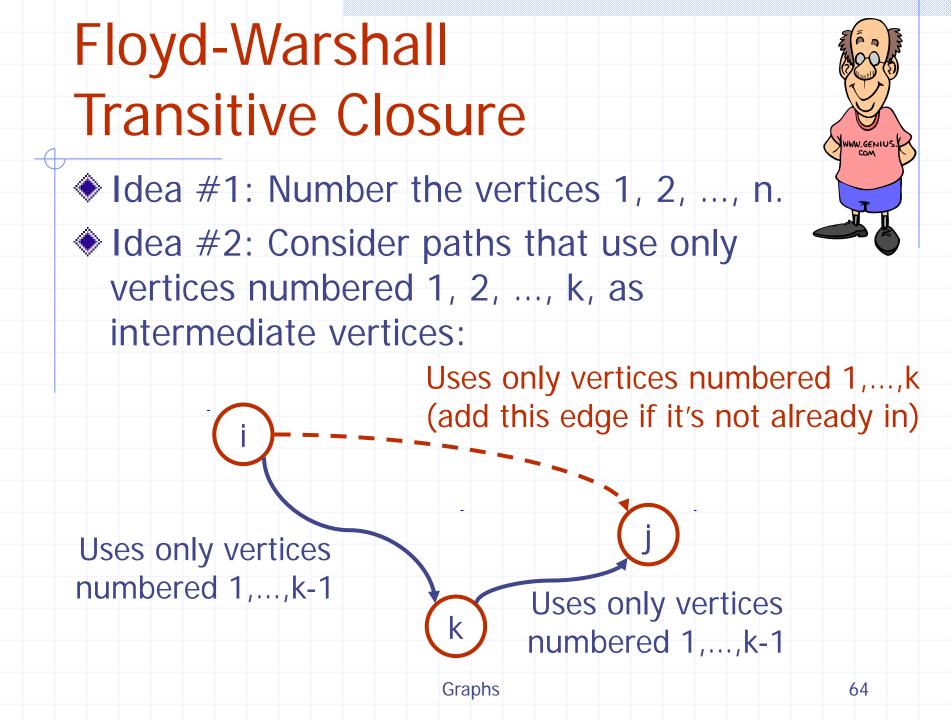
# Computing the Transitive Closure

We can perform
 DFS starting at
 each vertex
 O(n(n+m))

If there's a way to get from A to B and from B to C, then there's a way to get from A to C.

Alternatively ... Use
 dynamic programming:
 the Floyd-Warshall
 Algorithm

NWW.GENIUS.

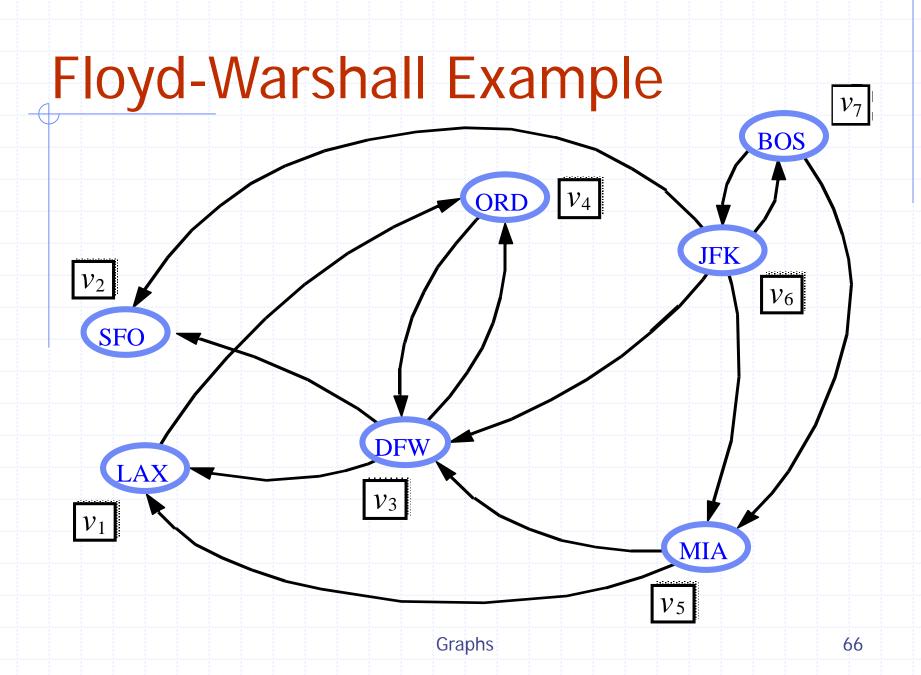


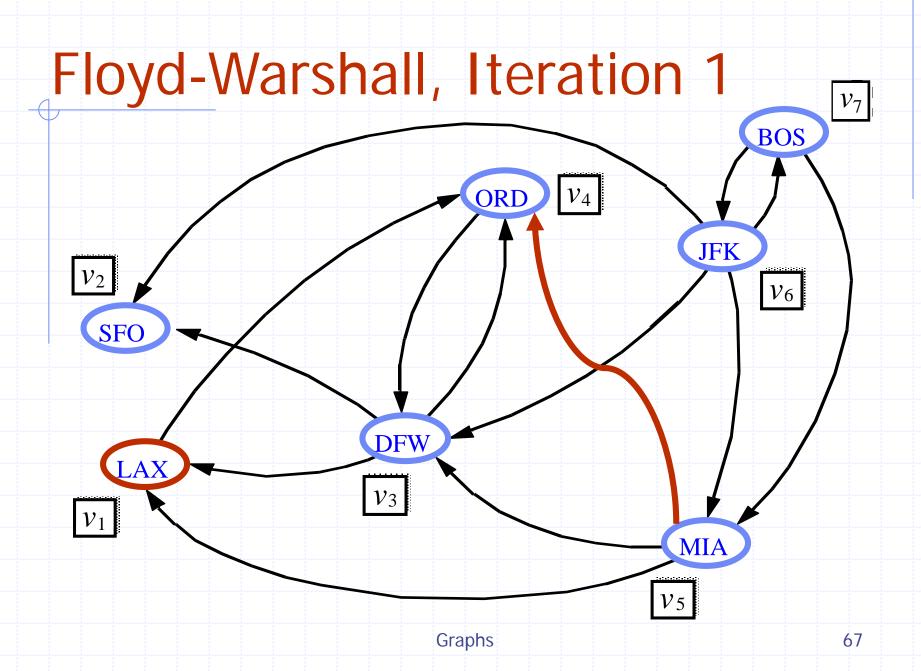


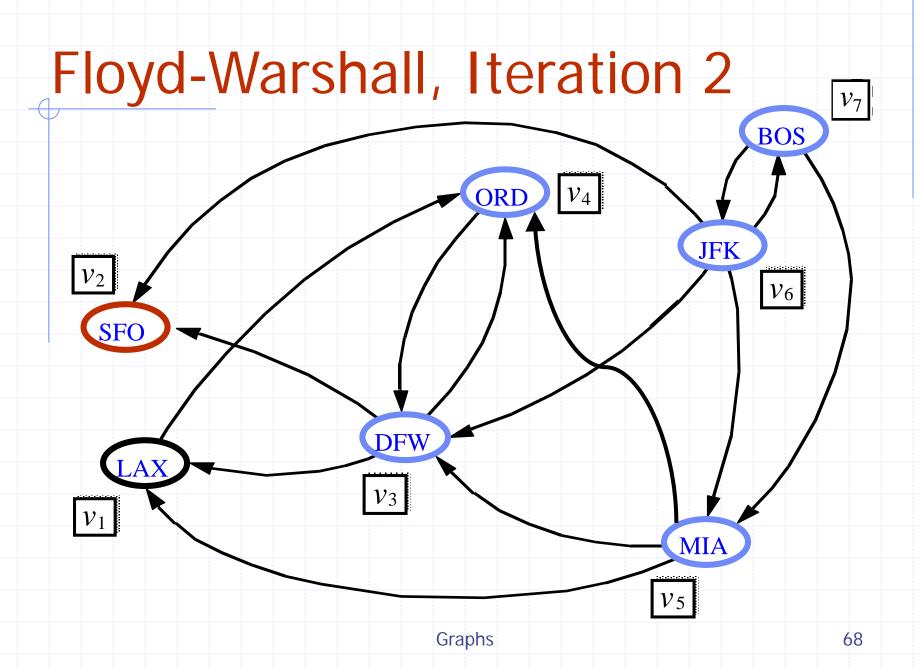
## Floyd-Warshall's Algorithm

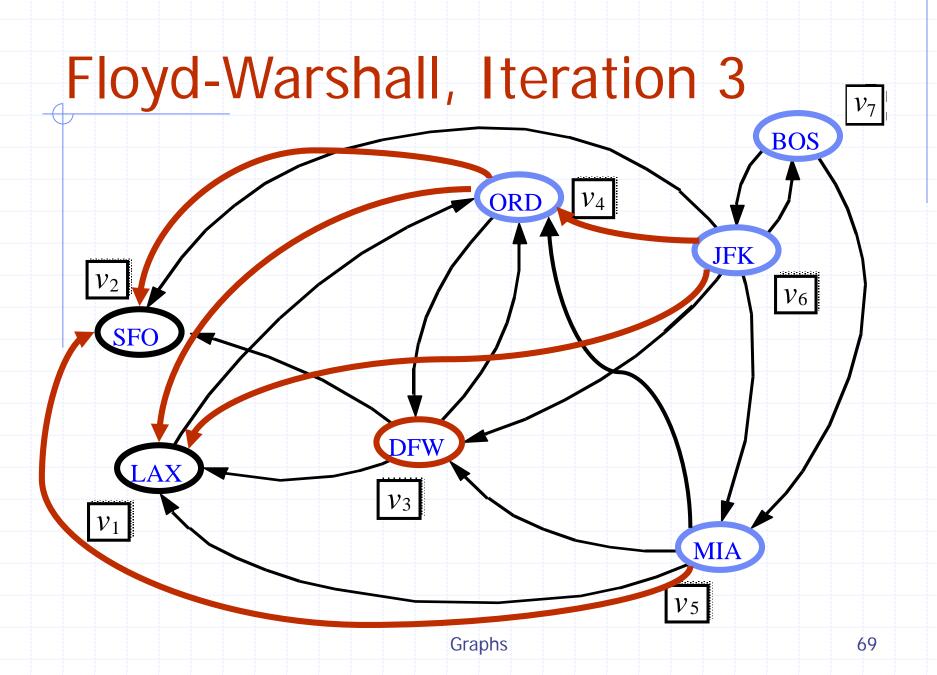
- Floyd-Warshall's algorithm numbers the vertices of G as  $v_1, ..., v_n$  and computes a series of digraphs  $G_0, ..., G_n$ 
  - **G**<sub>0</sub>=**G**
  - G<sub>k</sub> has a directed edge (v<sub>i</sub>, v<sub>j</sub>) if G has a directed path from v<sub>i</sub> to v<sub>j</sub> with intermediate vertices in the set {v<sub>1</sub>, ..., v<sub>k</sub>}
- We have that  $G_n = G^*$
- ♦ In phase k, digraph Gk is computed from Gk -1
   ♦ Running time: O(n<sup>3</sup>),
  - assuming areAdjacent is O(1) (e.g., adjacency matrix)

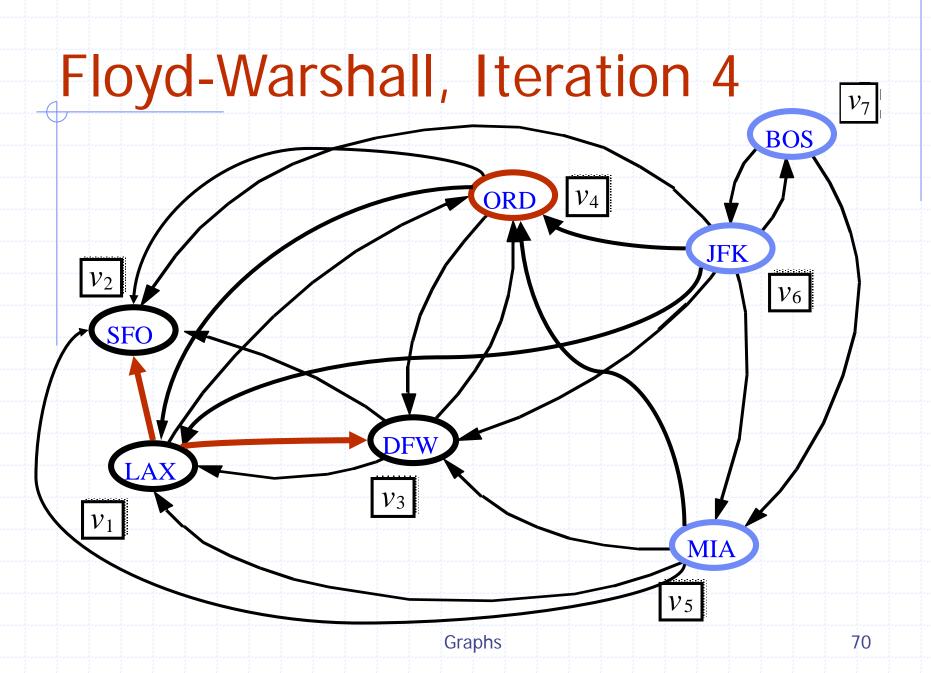
Algorithm *FloydWarshall(G)* **Input** digraph *G* **Output** transitive closure *G*<sup>\*</sup> of *G i* ← 1 for all  $v \in G.vertices()$ denote v as  $v_i$  $i \leftarrow i + 1$  $G_0 \leftarrow G$ for  $k \leftarrow 1$  to n do  $G_k \leftarrow G_{k-1}$ for  $i \leftarrow 1$  to  $n \ (i \neq k)$  do for  $j \leftarrow 1$  to  $n \ (j \neq i, k)$  do if  $G_{k-1}$ .areAdjacent $(v_i, v_k) \land$  $G_{k-1}$ .areAdjacent( $v_k, v_j$ ) if  $\neg G_k$ .areAdjacent( $v_i, v_j$ )  $G_k$ .insertDirectedEdge( $v_i, v_j, k$ ) return G<sub>n</sub>

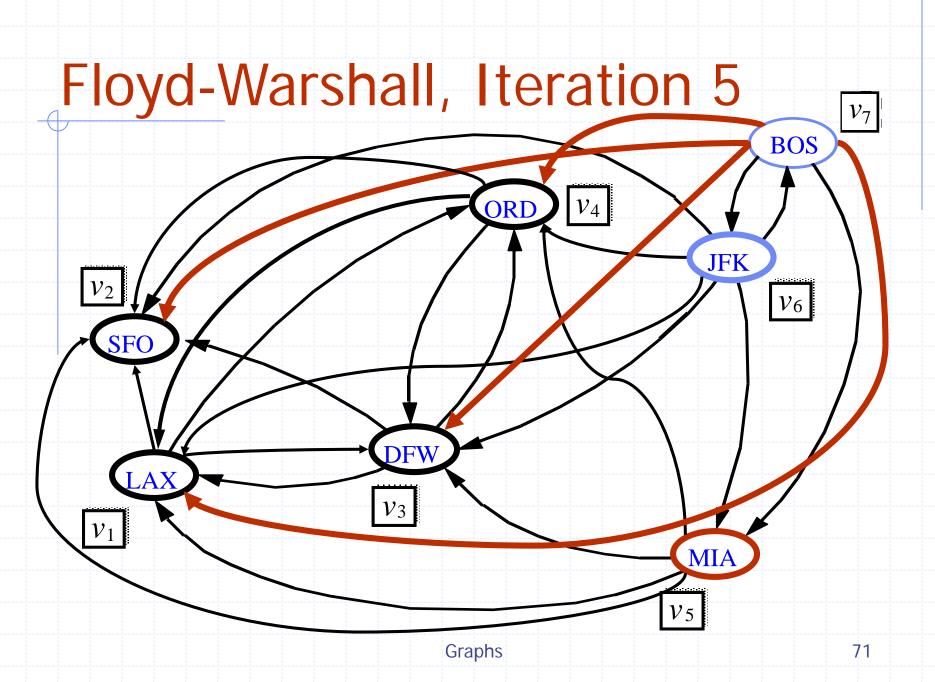


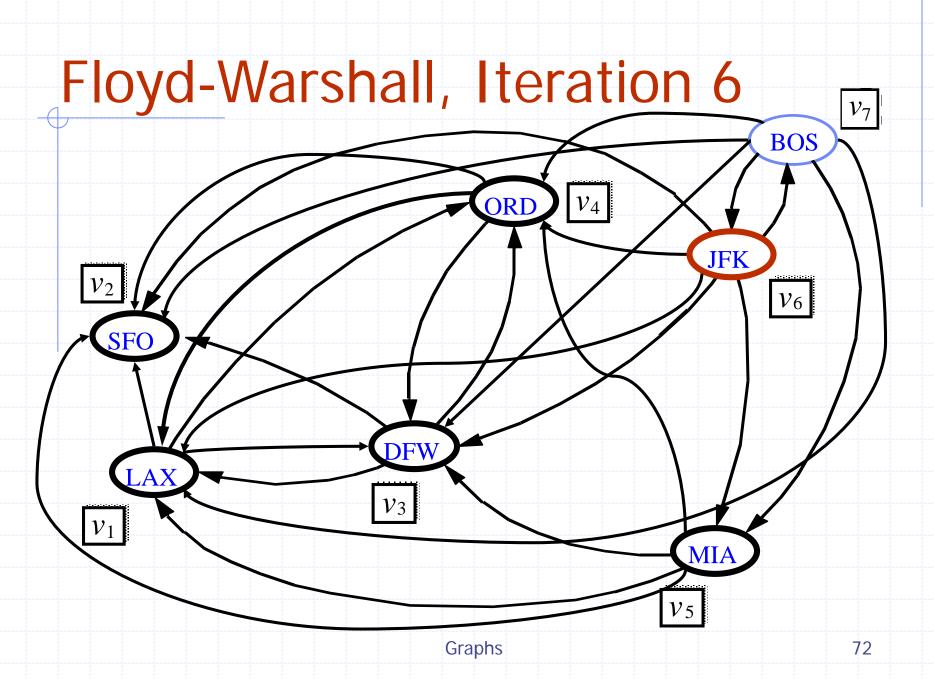


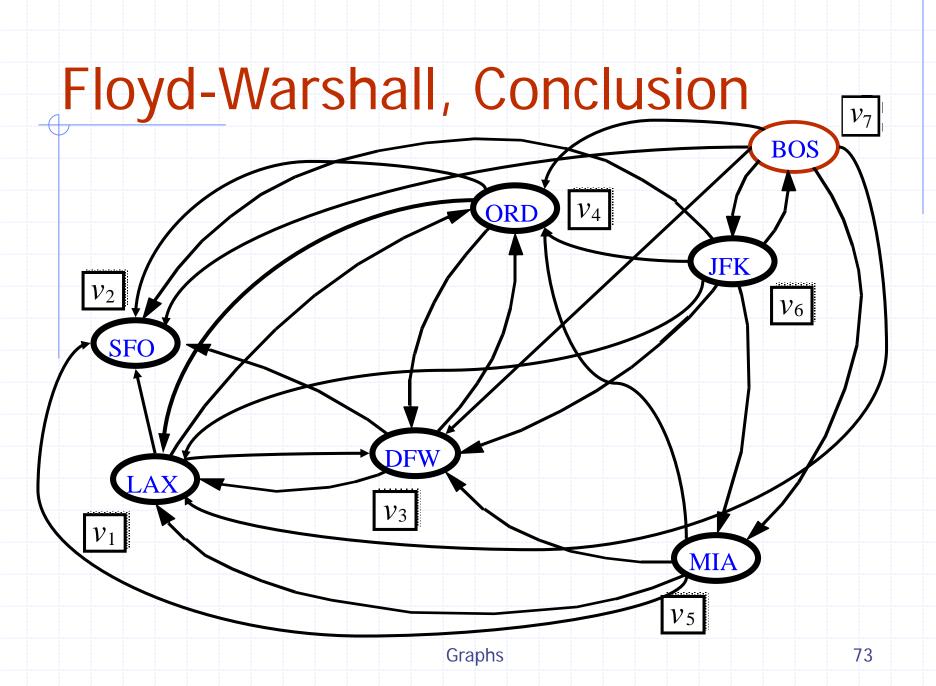




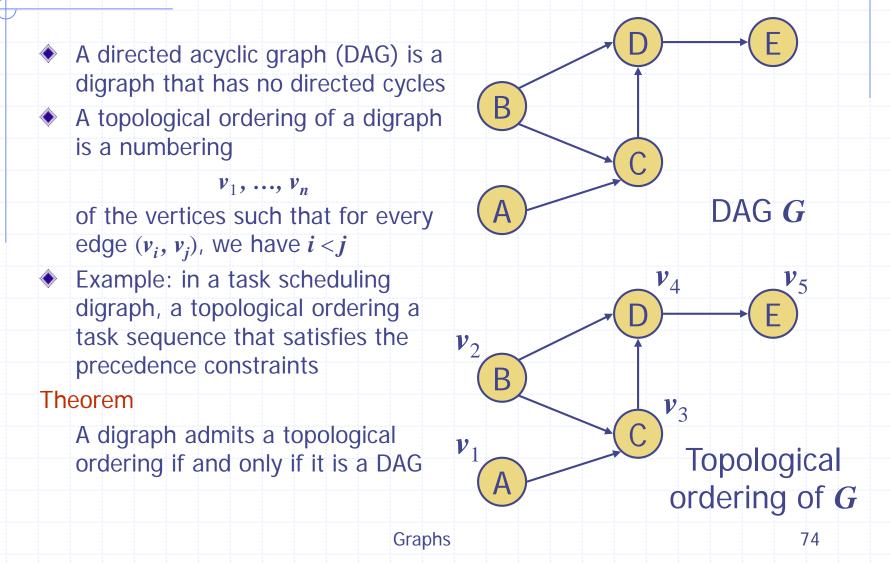








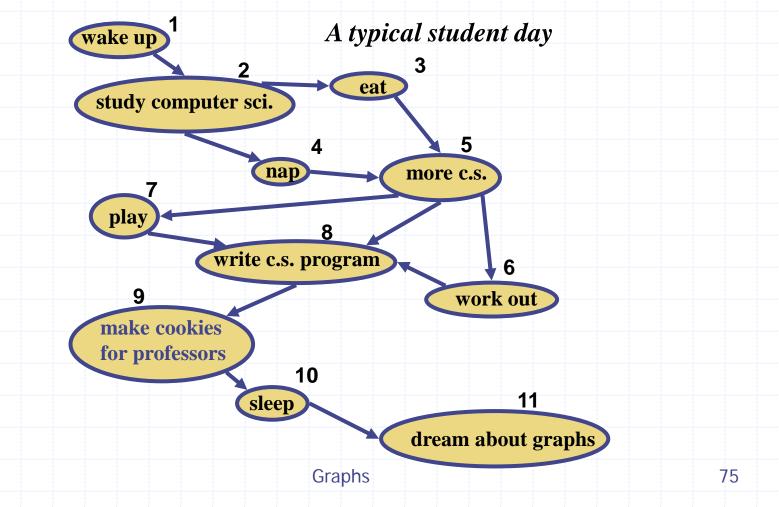
#### **DAGs and Topological Ordering**



#### **Topological Sorting**



Number vertices, so that (u,v) in E implies u < v</p>



#### Algorithm for Topological Sorting

Note: This algorithm is different than the one in Goodrich-Tamassia

Method TopologicalSort(G)  $H \leftarrow G$  // Temporary copy of G  $n \leftarrow G.numVertices()$ while H is not empty do Let v be a vertex with no outgoing edges Label  $v \leftarrow n$   $n \leftarrow n - 1$ Remove v from H

#### Running time: O(n + m). How...?

## Topological Sorting Algorithm using DFS

 Simulate the algorithm by using depth-first search

Algorithm *topologicalDFS*(G)

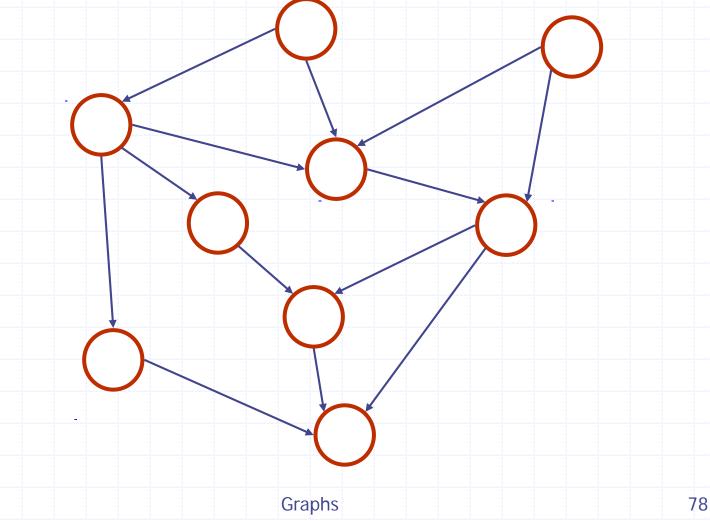
Input dag G Output topological ordering of G  $n \leftarrow G.numVertices()$ for all  $u \in G.vertices()$  setLabel(u, UNEXPLORED)for all  $e \in G.edges()$  setLabel(e, UNEXPLORED)for all  $v \in G.vertices()$ if getLabel(v) = UNEXPLOREDtopologicalDFS(G, v)

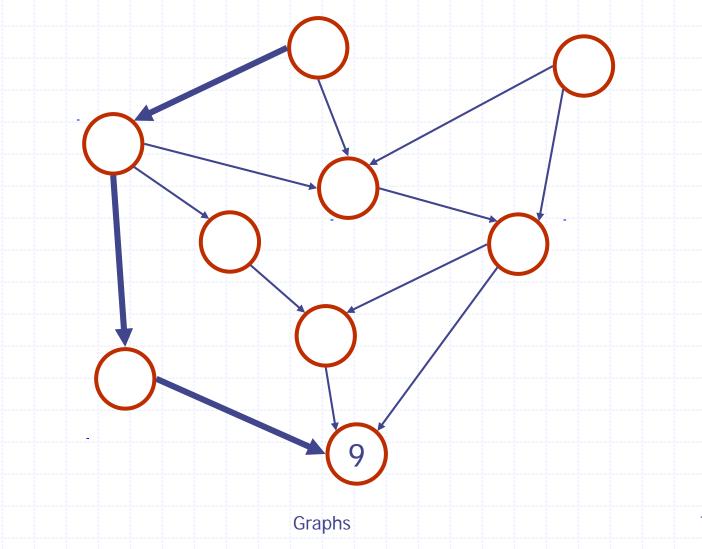
O(n+m) time.

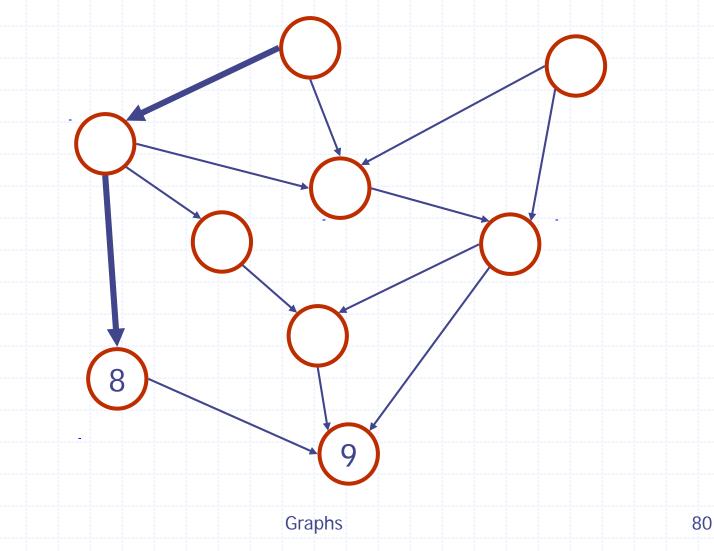
Algorithm *topologicalDFS*(*G*, *v*) **Input** graph *G* and a start vertex *v* of *G* Output labeling of the vertices of G in the connected component of vsetLabel(v, VISITED) for all  $e \in G.incidentEdges(v)$ **if** getLabel(e) = UNEXPLORED  $w \leftarrow opposite(v,e)$ **if** *getLabel*(*w*) = *UNEXPLORED* setLabel(e, DISCOVERY) topologicalDFS(G, w) else

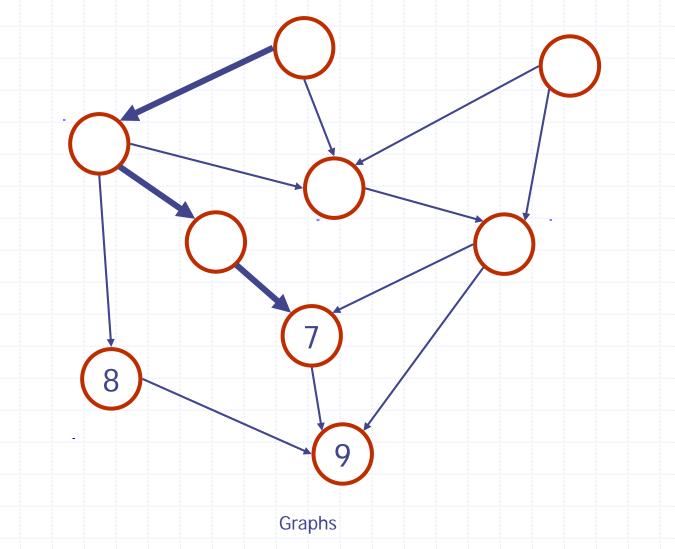
{e is a forward or cross edge} Label v with topological number n

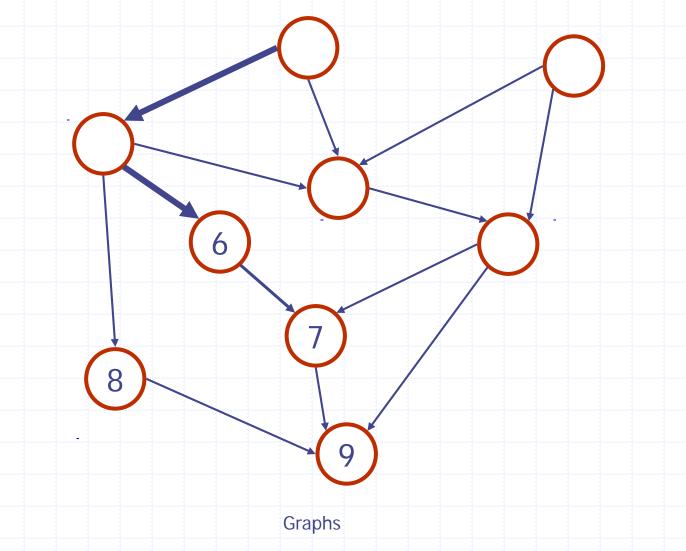
 $n \leftarrow n - 1$ 



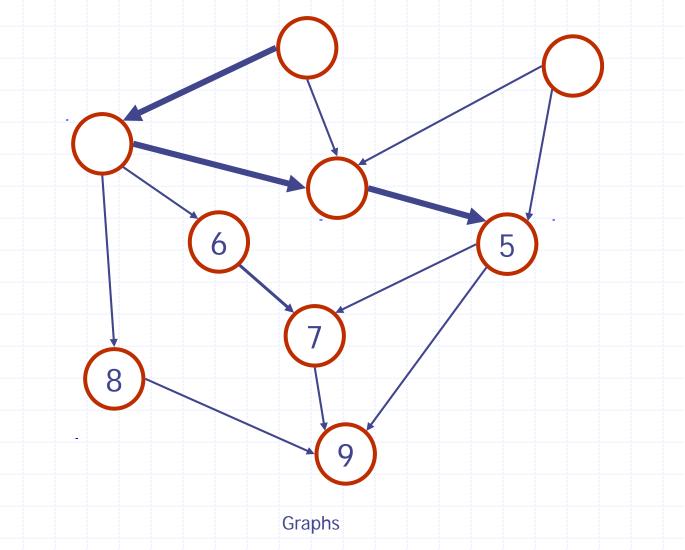








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